



National Aeronautics and
Space Administration

Washington, D.C.
20546

Office of the Administrator

MAR 31 1992

TO: C/Assistant Administrator for Commercial Programs
R/Associate Administrator for Aeronautics and Space
Technology

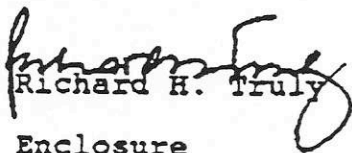
FROM: A/Administrator

SUBJECT: Delegation of Authority--Joint-Sponsored Research
Project for Technology Transfer and Commercialization

In order to ensure the broadest possible utilization of NASA-developed technology by the U.S. private sector, I am today authorizing a limited delegation of my authority under the Space Act to establish a pilot program for Joint-Sponsored Research Projects. Under this program, the Agency may jointly fund with private sector entities technology research and development activities that further Agency goals and missions.

This delegation is to the Assistant Administrator for Commercial Programs (Code C) and the Associate Administrator for Aeronautics and Space Technology (Code R). During the two-year term of the delegation, Code C's role is to disseminate information on the Joint-Sponsored Research Agreement (JSRA) pilot program, locate potential private sector JSRA partners, facilitate agreement formulation, and encourage commercialization of resulting technologies. Code R's role is to select and fund JSRA projects which advance Agency aeronautical and space technology goals.

As part of this delegation, I am requiring you to report to the Administrator on the efficacy of the JSRA as an Agency technology transfer tool and to recommend changes or expansions of this program to ensure the best possible return for the nation from taxpayer-sponsored NASA research.


Richard H. Truly

Enclosure

DELEGATION OF AUTHORITY--JOINT-SPONSORED RESEARCH PROJECT FOR
TECHNOLOGY TRANSFER AND COMMERCIALIZATION

1. DELEGATION

- A. The Assistant Administrator for Commercial Programs and the Associate Administrator for Aeronautics and Space Technology are delegated authority to negotiate, execute, amend, and terminate certain Space Act agreements as defined herein.
- B. A Space Act agreement covered by this delegation is an arrangement under Section 203(c)(5) and (6) of the National Aeronautics and Space Act of 1958, as amended, involving NASA and one or more non-NASA participants. Under this arrangement NASA may provide resources, which include funds, services, equipment, information, intellectual property, or facilities on a shared or pooled basis to at least one of the participants in order to contribute to or participate in a mission-related joint-sponsored project for the purpose of advancing mission goals and transferring the resulting technology to the private sector.
- C. NASA may enter into these Joint-Sponsored Research Agreements (JSRA's) only with U.S. persons or U.S. entities.
- D. This type of Space Act agreement shall not be for the acquisition of supplies or services by or for the use of the Federal Government which are subject to the provisions of the Federal Acquisition Regulation. Other Space Act agreements not covered by this delegation may also be used for mission research development and technology transfer purposes.
- E. The following limitations will apply:
 - (1) Each such agreement will be implemented within the scope of funding approved through the normal NASA program/funding approval process.
 - (2) Each such agreement will be reviewed and concurred in by the Comptroller and the General Counsel.
 - (3) The NASA Comptroller's regulations, currently published as Financial Management Manual 9090, or as may be amended in the future, set forth and govern the guidelines and procedures to be used in determining, allocating, and billing costs under these agreements.

- (4) Such an agreement can be a multiyear agreement, but will not obligate NASA to provide funds, services, equipment, information, or facilities for a duration beyond the available authorization period of the applicable appropriations.
- (5) Each such agreement will state that NASA's performance of responsibilities under the agreement are subject to the availability of NASA's appropriations therefor and that nothing in the agreement commits the United States Congress to appropriate funds therefor.

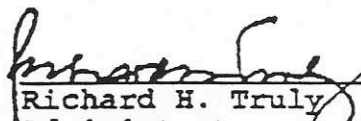
2. MANAGEMENT AND REPORTING

- A. The officials to whom authority is delegated in this directive will ensure that the Administrator is kept fully and currently informed of significant actions, problems, or other matters of substance related to the exercise of the authority delegated hereunder.
- B. Within 60 days of the expiration date of this delegation, the officials to whom this authority has been delegated shall forward a report of all JSRA's entered into pursuant to this delegation. The report should identify the parties to the Space Act agreement, the purpose of the Space Act agreement, the NASA resources committed, the estimated value of such resources, the duration of the Space Act agreement, and the resulting technology advances and commercialization activities, if any. The report should also contain specific recommendations on whether further JSRA activities should be undertaken and the scope and conditions of any further activity.

3. REVIEW

- A. This delegation shall be for a period of two (2) years from its effective date.

DATE: 31 March 1992


Richard H. Truly
Administrator